The Strategic Investment Board (SIB) Complaints Policy

We hope that all your dealings with SIB are satisfactory. However, if you are dissatisfied, this document explains how to submit a complaint. The first section explains SIB's policy and sets out how you can complain. The second section – "How SIB Deals With a Complaint" on page 4 sets out SIB's internal complaints policy so you can see how we will deal with your complaint.

How to Complain to SIB

Our Commitment

We aim to ensure that:

- Making a complaint is as easy as possible
- We treat complaints seriously
- We deal with complaints promptly and in confidence
- We learn from complaints and use them to review and improve our services

Our Principles

SIB respects the standards for handling complaints as set out by the Northern Ireland Public Services Ombudsman. These aim to provide consistency in approach to, and accountability for, complaint handling across Government. Our principles for handling complaints effectively are:

- Accessible and simple;
- Fair and impartial;
- Timely, effective and consistent;
- Accountable; and
- Delivering continuous improvement.

What is a Complaint?

A complaint is when you tell us you are not happy about how SIB may have dealt with you. Or maybe you are not happy about how SIB is dealing with an issue you have raised.

It can be about anything and could include:

- When we do not deliver something on time
- When we give you the wrong information
- When you receive a poor quality service
- When you have a problem with a member of staff.

This policy is not to be used for dissatisfaction with processes such as recruitment decisions or employee grievances. Nor should it be used for procurement issues or any other matter where there is an applicable specific formal remedy process.

How to Complain to SIB

If you wish to make a complaint you can contact us in any of the ways listed below.

1. In writing to the Chief Executive – the address can be found below.

The Chief Executive	
Strategic Investment Board Limited	
5th Floor, 9 Lanyon Place	
Belfast	
BT1 3LP	

- 2. By e-mail: <u>contact@sibni.org</u> or you can email the Chief Executive at <u>brett.han-nam@sibni.org</u>
- 3. By telephone: 028 9025 0950

How We Will Deal With Your Complaint

- We aim to fully investigate your complaint and issue a response within ten working days. (You can see our internal handling procedure on page 4).
- If you are unhappy with the response to your complaint, you can ask for it to be referred to the Chairman of the Board of Directors of SIB.
- If you are still unhappy with our response you can contact the Northern Ireland Public Services Ombudsman.

The Northern Ireland Public Services Ombudsman

You can contact the Northern Ireland Public Services Ombudsman in a number of ways:

4. By Telephone

	028 9023 3821 (or Froephone 0800 242424)		
E In Writing.	(or Freephone 0800 343424)		
5. In Writing:			
	The Ombudsman		
	Freepost BEL 1478		
	Belfast		
	BT1 6BR		
6. By Email	. By Email		
	nipso@nipso.org.uk		
7. In Person at:			
	The Ombudsman's Office		
	Progressive House		
	33-37 Wellington Place		
	Belfast, BT1 6HN		

You can also visit the Ombudsman's Office website at:

https://nipso.org.uk

The Ombudsman will not normally investigate a complaint unless the internal complaints procedure has been exhausted.

More information on how to complain to the Ombudsman can be found on the Ombudsman's website.

Response Times

- We will acknowledge receipt of your complaint within two working days.
- We will normally issue a full response within ten working days.
- If there is a delay in responding we will keep you informed of our progress.

Comments

We are happy to receive any other comments on our service to customers. Please contact us in any of the ways mentioned above. Alternatively you can email us from the <u>contact us</u> section of our website.

How SIB Deals With a Complaint

This section documents how SIB staff will handle any complaints received.

If Staff Receive a Complaint

SIB's complaints policy is set out above – "How to Complain to SIB" on page 1. Complainants are encouraged to complain directly to the Chief Executive. However, if someone complains to you, either verbally or in writing you should do the following.

- Acknowledge the complaint and refer the complainant to the complaints process set-out on the SIB website.
- If the complaint is verbal (e.g. in a telephone conversation or at a face-to-face meeting) ask the person whether they wish to make a formal complaint. If they do, ask them either to put their complaint in writing (using the process set-out on the SIB website) or offer to record their complaint in writing for them.
- Immediately pass any complaint to the Chief Executive, who is responsible for handling all complaints to SIB. (Note the tight time scales for SIB responding to complaints).

If Staff are Asked to Deal with or Respond to a Complaint

SIB is committed to dealing with complaints promptly and satisfactorily and it respects the standards for handling complaints set out by the Northern Ireland Public Services Ombudsman. These standards aim to provide consistency in approach to, and accountability for, complaint handling across Government.

In dealing with complaints SIB intends its process to be:

- Accessible and simple;
- Fair and impartial;
- Timely, effective and consistent;
- Accountable; and
- Delivering continuous improvement.

The Chief Executive will coordinate and deal with all complaints to SIB. However, you may be asked to help. For example:

- If the complaint is against you, you may be asked for your side of the story.
- You may be asked to carry out an investigation on behalf of the Chief Executive.
- You may be asked to correct the problem that was complained about; or at least say how it will be dealt with.

In all cases staff should follow the principles set out above.

Response Times

- SIB will acknowledge receipt of a complaint within two working days.
- Normally SIB will issue a full response within ten working days.
- If there is a delay in responding we must keep the complainant informed of our progress.

Reporting to SIB Audit Committee

The Chief Executive will inform Audit Committee (normally through the quarterly governance statement) about any complaint handled under this policy.

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